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# ***k* Nearest Neighbors**

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# Content

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- Introduction
- $k$  Nearest Neighbors

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# Training dataset $\Rightarrow$ classification

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X1	X2	Class
0	0	+1
0	1	+1
0	2	+1
1	1	+1
2	0	-1
2	1	-1
3	1	-1

X1	X2	Class
0	2	?

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 **class = +1**

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1.1	1	?



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1.1	1	?

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# Top 10 Data Mining Algorithms

## (Kdnuggets)

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Here are the algorithms:

- 1. C4.5
- 2. k-means
- 3. Support vector machines
- 4. Apriori
- 5. EM
- 6. PageRank
- 7. AdaBoost
- 8. kNN
- 9. Naive Bayes
- 10. CART

# $k$ Nearest Neighbors ( $k$ NN)

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## ■ $k$ Nearest Neighbors ( $k$ NN)

- Learning by analogy
- Simple and intuitive
- Called lazy learning (no training step)
- Training examples themselves represent the knowledge
- New example  $x$  is classified into the label which is most frequent among the  $k$  training examples nearest to that point  $x$
- Dependent on the distance measure
- Can be extended for regression and clustering

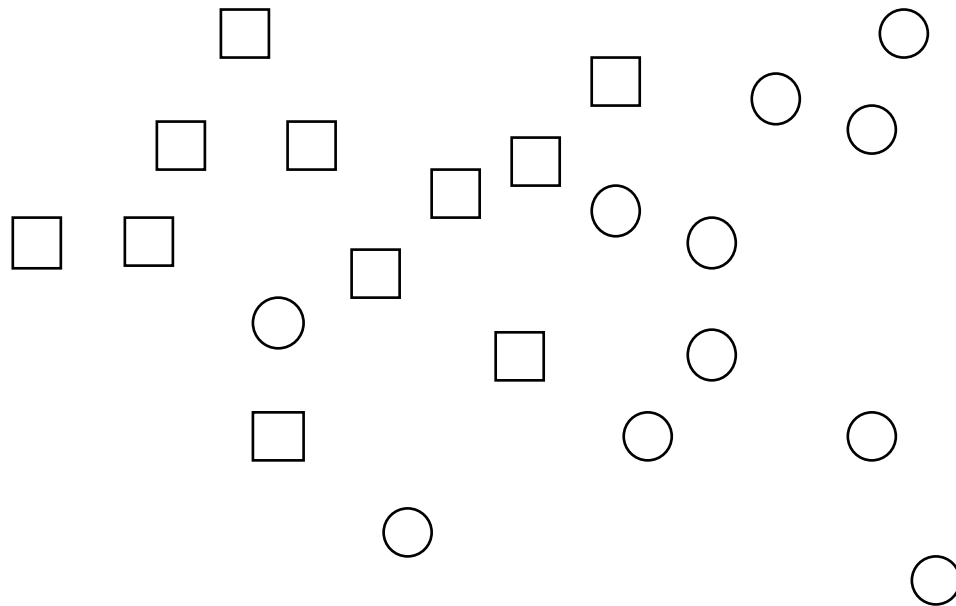
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- **$k$  Nearest Neighbors**

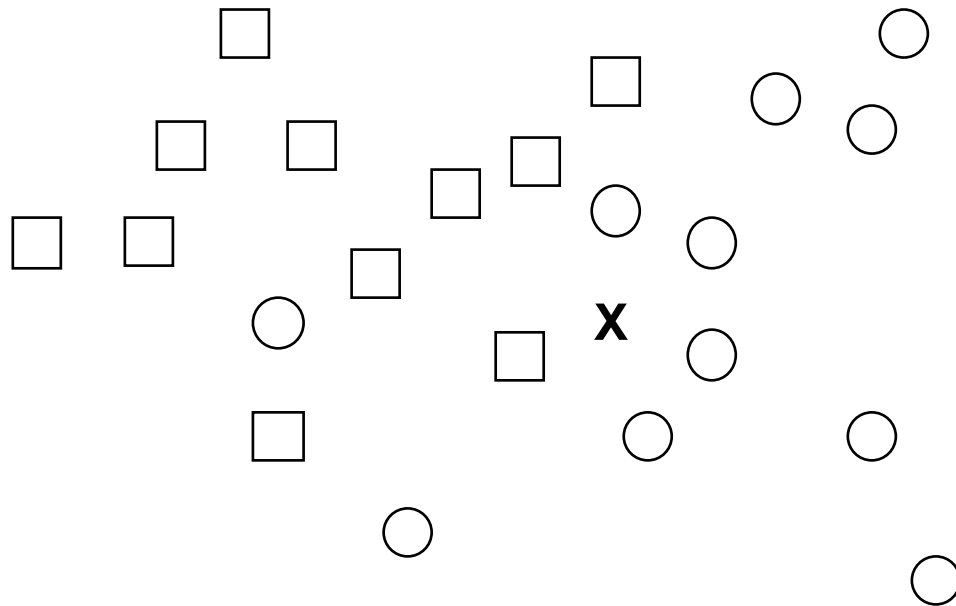
# $k$ Nearest Neighbors ( $k$ NN)

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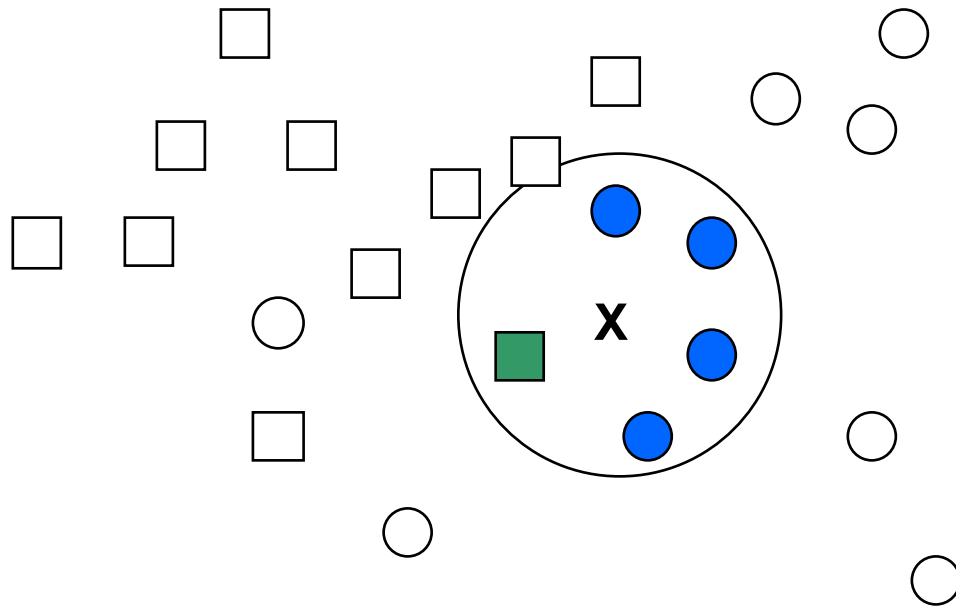
# $k$ Nearest Neighbors ( $k$ NN)

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# $k$ Nearest Neighbors ( $k$ NN)

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# Distance measures

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- Distance measure between  $x, y$ :  $d(x, y)$
- Verifying 4 axioms for all  $x, y, z$ 
  1. Non negativity:  $d(x, y) \geq 0$
  2. Zero property:  $d(x, x) = 0$
  3. Symmetry:  $d(x, y) = d(y, x)$
  4. Triangle inequality:  $d(x, z) \leq d(x, y) + d(y, z)$



# Distance measures

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- Minkowski distance

$$d(u, v) = \sqrt[q]{(|u_1 - v_1|^q + |u_2 - v_2|^q + \dots + |u_n - v_n|^q)}$$

two points  $u, v$  in  $n$ -dimensional input space,

$$u = (u_1, u_2, \dots, u_n) \text{ and } v = (v_1, v_2, \dots, v_n)$$

$$q \geq 1$$

# Distance measures

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- Minkowski distance
- with  $q = 1 \Rightarrow d$  is Manhattan distance

$$d(u, v) = |u_1 - v_1| + |u_2 - v_2| + \dots + |u_n - v_n|$$

- with  $q = 2 \Rightarrow d$  is Euclidean distance

$$d(u, v) = \sqrt{(|u_1 - v_1|^2 + |u_2 - v_2|^2 + \dots + |u_n - v_n|^2)}$$

# Example

X1	X2	Class
0.45	5	?

X1	X2	Class
0.1	10	+1
0.2	25	+1
0.3	0	+1
0.5	11	-1
0.8	100	-1
0	50	+1
1	70	-1

d(Manhattan)
5.35
20.25
5.15
6.05
95.35
45.45
65.55

**1NN**  **Class = +1**

# Comments

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- Feature  $X_2$  in  $[0..100]$
- Feature  $X_1$  in  $[0..1]$
- Distance measure depends on  $X_2$
- Necessary to normalize data
- Scaling  $X_2$  to have values between 0 and 1

$$new\_val = (val - min)/(max - min)$$

# Normalized $X_2$

X1	X2	Class
0.45	0.05	?

X1	X2	Class
0.1	0.1	+1
0.2	0.25	+1
0.3	0	+1
0.5	0.11	-1
0.8	1	-1
0	0.5	+1
1	0.7	-1

D(Manhattan)
0.4
0.45
0.2
0.11
1.3
0.9
1.2

**1NN**  **class = -1**

# Discussion

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- Simple and intuitive:  $k$  nearest neighbors
  - Tuning  $k$
  - Choosing the distance measure
  - No training step
  - Training examples themselves represent the knowledge
  - Very slow for the prediction: simple version scans entire training example to derive a prediction
  - Statisticians have used  $k$ NN since early 1950s
- If  $n \rightarrow \infty$  and  $k/n \rightarrow 0$ , error approaches minimum

# Discussion

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- Theorem: For sufficiently large training set size  $n$ , the error rate of the  $1NN$  classifier is less than twice the Bayes error rate. (Cover & Hart, 1967)



*Merci !*